

**WEST**

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IBM Technical Disclosure Bulletins

**Term:**

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1

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### Search History

**DATE:** Tuesday, September 02, 2003   [Printable Copy](#)   [Create Case](#)

**Set Name Query**  
side by side

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*DB=USPT,PGPB,JPAB,EPAB,DWPI; PLUR=YES; OP=ADJ*

<u>L19</u>	L18 same l2	10	<u>L19</u>
<u>L18</u>	L17 with l1 l	1100	<u>L18</u>
<u>L17</u>	lysing or lytic or cyto\$	147187	<u>L17</u>
<u>L16</u>	pH sensitive with l1 l	12	<u>L16</u>
<u>L15</u>	l1 l same l4	18	<u>L15</u>
<u>L14</u>	l13 and l4	17	<u>L14</u>
<u>L13</u>	l1 l with l1	6200	<u>L13</u>
<u>L12</u>	L11 with l4	4	<u>L12</u>
<u>L11</u>	ethanol	314350	<u>L11</u>
<u>L10</u>	l9 same l4	7	<u>L10</u>
<u>L9</u>	L8 with l2	9729	<u>L9</u>
<u>L8</u>	ethanol or endosomolytic	314405	<u>L8</u>
<u>L7</u>	l4 and l3	19	<u>L7</u>
<u>L6</u>	l4 same l3	0	<u>L6</u>
<u>L5</u>	l4 with l3	0	<u>L5</u>
<u>L4</u>	endocytosis or endosome or endosomo\$ or lysomotro\$	7278	<u>L4</u>
<u>L3</u>	L2 with l1	2802	<u>L3</u>
<u>L2</u>	nanoparticle or polymer or microparticle	1516641	<u>L2</u>
<u>L1</u>	ortho-ester or hydrazine or hydrazone or cis-actonyl	66662	<u>L1</u>

END OF SEARCH HISTORY

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L7: Entry 2 of 19

File: PGPB

Feb 6, 2003

PGPUB-DOCUMENT-NUMBER: 20030026841  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20030026841 A1

TITLE: Compositions and methods for drug delivery using pH sensitive molecules

PUBLICATION-DATE: February 6, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Trubetskoy, Vladimir S.	Madison	WI	US	
Hagstrom, James E.	Middleton	WI	US	
Budker, Vladimir G.	Middleton	WI	US	
Wolff, Jon A.	Madison	WI	US	
Rozema, David B.	Madison	WI	US	
Monahan, Sean D.	Madison	WI	US	

US-CL-CURRENT: 424/486; 514/2, 514/44, 514/54, 514/56, 514/59

## CLAIMS:

We claim:

1. The process for delivery of a polyion to a cell, comprising: a) forming a complex of labile polyampholyte and polyion; and, b) delivering the complex into a cell.
2. The process of claim 1 wherein the labile bond is a pH labile bond.
3. The process of claim 2 wherein the pH-labile bond is selected from the group consisting of acetal, ketal, silazane, silyl ether, ortho ester, enol ether, enol ester, imine, amidine, imidate ester, substructure of citraconic anhydride, and substructure of maleic anhydride.
4. The process of claim 1 wherein the polyampholyte comprises one or more polycations selected from the group consisting of poly-L-lysine, poly-D-lysine, poly-L,D-lysine, polyethylenimine, polyallylamine, poly-L-ornithine, poly-D-ornithine, poly-L,D-ornithine, polyvinylamine, natural cationic proteins, synthetic cationic proteins, synthetic cationic peptides and synthetic polymers.
5. The process of claim 4 wherein the synthetic polymers consist of monomers with amines selected from the group consisting of alkylamine, aryl amine, aralkylamine, imidazole, pyridine, and piperazine, pyrazine, pyrimidine, oxazoline, and oxazole.
6. The process of claim 1 wherein the polyampholyte comprises one or more polyanions selected from the group consisting of poly-L-aspartic acid, poly-D-aspartic acid, poly-L,D-aspartic acid, polyacrylic acid, poly-L-glutamic acid, poly-D-glutamic acid, poly-L,D-glutamic acid, succinylated poly-L-lysine, succinylated poly-D-lysine, succinylated poly-L,D-lysine, succinylated polyethylenimine, succinylated polyallylamine, succinylated poly-L-ornithine, succinylated poly-D-ornithine, succinylated poly-L,D-ornithine, succinylated polyvinylamine, polymethacrylic acid, dextran sulfate, heparin, hyaluronic acid, DNA, RNA, natural anionic proteins, synthetic anionic proteins, synthetic anionic peptides, and synthetic polymers

containing monomers in which an amine has been reacted with a substructure of citraconic anhydride and/or substructure of maleic anhydride.

7. The process of claim 1 wherein the polyampholyte contains a functional group.

8. The process of claim 7 wherein the functional group on the polyampholyte undergoes a chemical reaction.

9. The process for delivery of a polyion to a cell, comprising: a) forming a complex of a polyion and a polyampholyte having a pH labile bond; and, b) delivering the complex into a cell.

10. The process of claim 9 wherein the pH-labile bond is selected from the group consisting of acetal, ketal, silazane, silyl ether, ortho ester, enol ether, enol ester, imine, amidine, imidate ester, substructure of citraconic anhydride, and substructure of maleic anhydride.

11. The process of claim 9 wherein the polyampholyte comprises one or more polycations selected from the group of poly-L-lysine, poly-D-lysine, poly-L,D-lysine, polyethylenimine, polyallylamine, poly-L-ornithine, poly-D-ornithine, poly-L,D-ornithine, polyvinylamine, natural cationic proteins, synthetic cationic proteins, synthetic cationic peptides and synthetic polymers.

12. The process of claim 11 wherein the synthetic polymers contain monomers with amines selected from the group consisting of alkylamine, aryl amine, aralkylamine, imidazole, pyridine, and piperazine, pyrazine, pyrimidine, oxazoline, oxazole.

13. The process of claim 9 wherein the polyampholyte comprises one or more polyanions selected from the group consisting of poly-L-aspartic acid, poly-D-aspartic acid, poly-L,D-aspartic acid, polyacrylic acid, poly-L-glutamic acid, poly-D-glutamic acid, poly-L,D-glutamic acid, succinylated poly-L-lysine, succinylated poly-D-lysine, succinylated poly-L,D-lysine, succinylated polyethylenimine, succinylated polyallylamine, succinylated poly-L-ornithine, succinylated poly-D-ornithine, succinylated poly-L,D-ornithine, succinylated polyvinylamine, polymethacrylic acid, dextran sulfate, heparin, hyaluronic acid, DNA, RNA, natural anionic proteins, synthetic anionic proteins, synthetic anionic peptides, and synthetic polymers containing monomers in which an amine has been reacted with a substructure of citraconic anhydride and/or substructure of maleic anhydride.

14. The process of claim 9 wherein the polyampholyte contains a functional group.

15. The process of claim 14 wherein the functional group on the polyampholyte undergoes a chemical reaction.

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L7: Entry 16 of 19

File: USPT

Jun 9, 1998

DOCUMENT-IDENTIFIER: US 5762918 A

TITLE: Methods of using steroid-polyanionic polymer-based conjugated targeted to vascular endothelial cells

Detailed Description Text (22):

Typical acid-labile linkages believed to be useful in connection with the present invention include those that employ a Schiff's base linkage, for example, linkages incorporating the condensation product of an aldehyde or ketone with a hydrazine, a hydrazide, a primary or secondary amine or their derivatives. A particularly preferred Schiff's base linkage for use in accordance herewith is an acyl hydrazone bond, formed when a hydrazide derivative of heparin, or other polyanionic compound or polymer, is condensed with a selected agent containing a ketone or aldehyde group. It is proposed that the heparin or other polyanionic compound or polymer can be derivatised to introduce hydrazide-terminating side chains of various lengths. The simplest hydrazide-terminating side chain contemplated has the structure:

Detailed Description Text (88):

In designing an acid-labile bond, it is reasoned that the endocytosis of the conjugate by the endothelial cell would result in its delivery to acidified endosomes and lysosomes. This is known to occur with heparin itself (Fabian et al., 1978; Barzu et al., 1985). The presence of the acid-labile bond would then allow the release of the selected agent from such acid intracellular compartments. The selected agents, such as steroids, would then be free to exert their effects only when inside the target cells, and would be otherwise maintained in an active state whilst circulating in the body.

Detailed Description Text (95):

The heparin-cortisol conjugate was constructed by first condensing the carboxyl groups of accessible glucuronic acid and iduronic acid residues in heparin with adipic dihydrazide. This resulted in the introduction of hydrazide groups into heparin which, on mixing with cortisol, condensed with the ketone group on C3 of the steroid to form a conjugate in which the heparin and the steroid were joined by an acyl hydrazone bond. This bond was stable at pH 7.4 but, as required, allowed the rapid dissociation of the conjugate at pH 4.8. The first destination of the conjugate following internalization would be the acidified endosomes and lysosomes, which, with a pH of about 4.8, would promote the rapid release of the steroid.

Detailed Description Text (167):

These results demonstrate that the acyl hydrazone bond joining the two components of the conjugate was markedly acid-labile, as expected. Uptake of heparin-cortisol by endothelial cells and delivery of the conjugate to acidified endosomes and lysosomes would thus be expected to lead to the rapid release of the steroid since these intracellular compartments have a pH of approximately 4.8.

Other Reference Publication (9):

Pino, "Binding and Endocytosis of Heparin-Gold Conjugates by the Fenestrated Endothelium of the Rat Choriocapillaris," Cell Tissue Research, 250:257-266, 1987.

Other Reference Publication (12):

Barzu et al., "Binding and Endocytosis of Heparin by Human Endothelial Cells in Culture," Biochimica et Biophysica Acta, 845:196-203, 1985.

CLAIMS:

13. The method of claim 12, wherein said polymer is derivatized to introduce side chains terminating in hydrazide, hydrazine, primary amine or secondary amine groups.
14. The method of claim 13, wherein the derivatized polymer is the condensation product of the polymer and adipic dihydrazide, succinic dihydrazide, hydrazine or hydrazine hydrate, and said selected steroidal agent or agents is conjugated to the polymer through a Schiff's base linkage.
15. The method of claim 14, wherein said selected steroidal agent or agents is conjugated to said polymer through a hydrazone or acyl hydrazone bond.

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L10: Entry 6 of 7

File: PGPB

Jul 5, 2001

DOCUMENT-IDENTIFIER: US 20010006817 A1  
TITLE: CELL DELIVERY COMPOSITIONS

Abstract Paragraph (1):

The present invention provides improved cell delivery compositions. In particular, the invention provides biocompatible endosomolytic agents. In a preferred embodiment, the endosomolytic agents are also biodegradable and can be broken down within cells into components that the cells can either reuse or dispose of. Preferred endosomolytic agents include cationic polymers, particularly those comprised of biomolecules, such as histidine, polyhistidine, polylysine or any combination thereof. Other exemplary endosomolytic agents include, but are not limited to, other imidazole containing compounds such as vinylimidazole and histamine. More particularly preferred are those agents having multiple proton acceptor sites and acting as a "proton sponge", disrupting the endosome by osmolytic action. In preferred embodiments, the endosomolytic agent comprises a plurality of proton acceptor sites having pKas within the range of 4 to 7, which endosomal lysing component is polycationic at pH 4. The present invention also contemplates the use of these endosomolytic agents as delivery agents by complexation with the desired compound to be delivered. Thus, the present invention also acts as a cell delivery system comprising an endosomolytic agent, a delivery agent, and a compound to be delivered.

Summary of Invention Paragraph (8):

[0008] The present invention provides improved cell delivery compositions. In particular, the invention provides a biocompatible endosomolytic system. These inventive endosomolytic agents obviate the need for known agents (i.e., chloroquine, fusogenic peptides, inactivated adenoviruses, and polyethyleneimine) that can burst endosomes but have negative effects on cells. Preferred inventive endosomolytic agents are biodegradable in that they are broken down within cells into components that the cells can either reuse or dispose of. Particularly preferred inventive endosomolytic agents are cationic polymers comprised of biomolecules. Although the present invention is not limited by the mechanism of action of the endosomolytic agents, certain preferred agents have multiple proton acceptor sites and would be expected to act as "proton sponges", disrupting the endosome by osmolytic action. Particularly preferred agents are polycationic under the conditions of the endosome (i.e., at pH 4). Exemplary endosomolytic agents include, but are not limited to, imidazole containing compounds such as histidine, histamine, vinylimidazole, polymers thereof, and any combinations thereof.

Summary of Invention Paragraph (9):

[0009] In one preferred embodiment of the invention, the endosomolytic agent comprises polyhistidine. Polyhistidine for use in accordance with the present invention may be provided as a linear or branched polyhistidine polymer. Moreover, as is discussed further below, the polyhistidine may be provided in combination with one or more additional agents. Where such other agents are other polymers, or functionalizable chemical compounds, they may be co-polymerized or functionalized with polyhistidine or histidine. Thus, a polyhistidine endosomolytic agent of the present invention need not comprise a polyhistidine polymer per se, so long as it has a sufficient number of histidine functional groups to preserve polyhistidine functionality as described herein. To give but one example, the inventive endosomolytic agent may comprise a single linear or branched copolymer synthesized from any appropriate combination of polyhistidine, polylysine, histidine, and/or lysine.

Detail Description Paragraph (4):

[0034] As discussed above, the present invention provides an improved system for delivery of compounds to cells and lysis of endosomal cell compartments. In particular, the invention provides biocompatible, preferably biodegradable, endosomolytic agents. While the mechanism of action of the endosomolytic agents is not intended to limit the scope of the present invention, preferred agents have multiple proton acceptor sites (i.e., multiple groups with a pKa intermediate between pH 4 and pH 7) and/or are polycationic, at least when they are within the endosome. Particularly preferred agents are linear or branched polymers of biomolecules, preferably of amino acids or amino acid derivatives. Exemplary endosomolytic agents include, but are not limited to, imidazole containing compounds such as histidine, histamine, vinylimidazole, polymers thereof, and any combinations thereof.

Detail Description Paragraph (7):

[0037] The polyhistidine endosomolytic agent of the present invention may be a linear polymer or a branched polymer. Moreover, the polyhistidine may be combined or polymerized with one or more additional agents with desirable cell delivery attributes. For example, the polyhistidine may be combined with a delivery agent selected to interact with the compound to be delivered to the cell. However, the polyhistidine of the present invention is not combined with chloroquine, fusogenic peptides, inactivated adenoviruses, and polyethyleneimine.

## CLAIMS:

83. The method of claim 82, wherein the endosomolytic lysing component comprises a polymer of biomolecules.



**WEST**

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L12: Entry 2 of 4

File: USPT

Oct 12, 1999

DOCUMENT-IDENTIFIER: US 5965404 A

**\*\* See image for Certificate of Correction \*\***

TITLE: Method for introducing nucleic acids into higher eukaryotic cells

Abstract Text (1):

Process and medium for the transfection of higher eukaryotic cells with DNA/polycation complexes, wherein a medium is used which contains ethyleneglycol and/or glycerol. The medium may also contain a substance which prevents the acidification of the endosomes, and/or a lower alcohol such as ethanol. The process is particularly suitable for the transfection of primary cells such as fibroblasts. Stably transformed cells can be obtained thereby, e.g. tumour cells for use as tumour vaccines.

(FILE 'HOME' ENTERED AT 17:30:19 ON 02 SEP 2003)

FILE 'MEDLINE, CANCERLIT, CAPLUS, BIOTECHDS, EMBASE' ENTERED AT 17:30:43  
ON 02 SEP 2003

L1 341517 S ETHANOL  
L2 178553 S ENDOSOME OR ENDOSOMO? OR LYSOMOTRO? OR LYSIS OR LYTIC OR ENDO  
L3 1109 S L2 AND L1  
L4 987857 S POLYMER OR MICROPARTICLE  
L5 13 S L4 AND L3  
L6 11 DUP REM L5 (2 DUPLICATES REMOVED)  
L7 26 S L1 AND (ENDOSOME OR ENDOSOMOLYTIC)  
L8 16 DUP REM L7 (10 DUPLICATES REMOVED)  
L9 0 S L1 AND ENDOSOMOLYTIC  
L10 4 S L1 AND LYSING AGENT  
L11 3 DUP REM L10 (1 DUPLICATE REMOVED)

=>

(FILE 'HOME' ENTERED AT 15:28:40 ON 02 SEP 2003)

FILE 'MEDLINE, CANCERLIT, BIOTECHDS, EMBASE, BIOSIS, CAPLUS' ENTERED AT  
15:29:13 ON 02 SEP 2003

L1 38160 S ORTHO-ESTER OR HYDRAZONE OR CIS-ACTONYL  
L2 1031789 S NANOPARTICLE OR POLYMER  
L3 1414 S L1 AND L2  
L4 3545839 S PH  
L5 216 S L4 AND L3  
L6 176 DUP REM L5 (40 DUPLICATES REMOVED)  
L7 331265 S ENDOSOME OR CYTOPLASM  
L8 0 S L7 AND L5  
L9 168434 S LYSIS OR LYTIC  
L10 0 S L9 AND L6  
L11 289 S ENDOSOMO?  
L12 0 S L11 AND L6  
L13 11756911 S PHARMACEUTICAL OR THERAP? OR DRUG  
L14 58 S L13 AND L6  
L15 35942 S L1 NOT ORTHO-ESTER  
L16 696 S L15 AND L2  
L17 52 S L16 AND L13  
L18 35950 S HYDRAZONE OR CIS-ACTONYL  
L19 696 S L18 AND L2  
L20 660 DUP REM L19 (36 DUPLICATES REMOVED)  
L21 31 S L20 AND L13  
L22 7177 S L18 AND PH  
L23 58333 S ENDOCYTOSIS  
L24 85 S L23 AND L1  
L25 42 DUP REM L24 (43 DUPLICATES REMOVED)  
L26 7350 S L22 OR LYSOMO?  
L27 7231 S L26 AND L4  
L28 121 S L26 AND L5  
L29 109 DUP REM L28 (12 DUPLICATES REMOVED)  
L30 10 S L29 AND L13

=>

L4 ANSWER 20 OF 23 CAPLUS COPYRIGHT 2003 ACS on STN  
 AN 1993:664187 CAPLUS  
 DN 119:264187  
 TI Packaging-defective non-oncoviral vectors based on MPMV and HIV  
 IN Lever, Andrew Michael Lindsay; Hunter, Eric  
 PA UK  
 SO PCT Int. Appl., 53 pp.  
 CODEN: PIXXD2  
 DT Patent  
 LA English  
 FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 9317118	A2	19930902	WO 1993-GB417	19930301
	WO 9317118	A3	19931014		
	W: AU, CA, JP, US				
	RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE				
	AU 9336394	A1	19930913	AU 1993-36394	19930301
	AU 671101	B2	19960815		
	EP 630409	A1	19941228	EP 1993-905485	19930301
	EP 630409	B1	20021127		
	R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE				
	JP 07504322	T2	19950518	JP 1993-514679	19930301
	EP 1262554	A2	20021204	EP 2002-14431	19930301
	R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE				
	AT 228569	E	20021215	AT 1993-905485	19930301
	ES 2187504	T3	20030616	ES 1993-905485	19930301
	US 5747307	A	19980505	US 1994-295737	19940826
	US 6294165	B1	20010925	US 1997-994001	19971218
PRAI	GB 1992-4350	A	19920228		
	GB 1992-8489	A	19920416		
	GB 1992-19935	A	19920921		
	EP 1993-905485	A3	19930301		
	WO 1993-GB417	A	19930301		
	US 1994-295737	A1	19940826		
AB	A <b>retrovirus</b> -based vector is prepd. for expression of heterologous genes for, e.g., gene therapy. The vector is capable of producing viral proteins but not packaging viral RNA. Construction of a vector contg. a deletion corresponding to that between the <b>primer-binding</b> site and the 5'-major <b>splice donor</b> of Mason-Pfizer Monkey virus (MPMV) was demonstrated. The vector is also lack of the LTR sequence. Prepn. of a vector based on a lentivirus, e.g., HIV-1, was also shown.				

L14 ANSWER 54 OF 58 CAPLUS COPYRIGHT 2003 ACS on STN

AN 1989:199214 CAPLUS

DN 110:199214

TI Method of preparing bioerodible polymers having pH sensitivity  
in the acid range and resulting product and their use in controlled  
drug delivery

IN Heller, Jorge; Penhale, Donald W. H.; Ng, Steve Y.

PA SRI International, USA

SO U.S., 10 pp.

CODEN: USXXAM

DT Patent

LA English

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 4764364	A	19880816	US 1986-833215	19860225
	US 4855132	A	19890808	US 1988-214452	19880701
PRAI	US 1986-833215		19860225		

AB Bioerodible polymers such as poly(ortho esters) I [ $n > 10$ , R = quadrivalent org. group, R<sub>6</sub>; R<sub>10</sub> = linking group; R<sub>11</sub> = quadrivalent org. group; R<sub>1</sub> - R<sub>4</sub> = H, essentially hydrocarbyl; CHR<sub>1</sub>R<sub>2</sub>, CHR<sub>3</sub>R<sub>4</sub> = cyclic; R<sub>5</sub> = hydrocarbyl derived from polyol R<sub>5</sub>(OH)<sub>a</sub>; a .gtoreq.2] contain amine functionality such that the rate of erosion of the polymer in an acidic environment increases as the pH diminishes. They are prepd. by copolymn., esp. using II as one of the comonomers. The polymers are useful for controlled pH-dependent release of drugs e.g. insulin. The diketene acetal III reacted with N-butyldiethanolamine to form prepolymer II [R<sub>1</sub> = R<sub>3</sub> = Me, R<sub>2</sub> = R<sub>4</sub> = H, R = C(CH<sub>2</sub>)<sub>4</sub>, R<sub>10</sub> = NBu(CH<sub>2</sub>CH<sub>2</sub>O)<sub>2</sub>]. p-Nitroacetanilide was mixed into II at 2 wt.%, the crosslinker 1,2,3-tris(hydroxypropyloxy)propane was added, and the polymer was cured as a cylinder 0.25 in diam. at 70 for 18 h. The cylinder was cut into disks which were suspended in buffers at various pH's and the rate of p-nitroacetanilide release was detd. The rate of release was least at pH 4.5, greater at pH 4.0, and still greater at pH 3.5.

L14 ANSWER 43 OF 58 CAPLUS COPYRIGHT 2003 ACS on STN  
 AN 1997:640510 CAPLUS  
 DN 127:268060  
 TI **Pharmaceutical** compositions containing buffered **ortho ester** polymers and their preparation  
 IN Gurny, Robert; Zignani, Monia; Tabatabay, Cyrus  
 PA Gurny, Robert, Switz.; Zignani, Monia; Tabatabay, Cyrus  
 SO PCT Int. Appl., 23 pp.  
 CODEN: PIXXD2  
 DT Patent  
 LA English  
 FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 9732606	A1	19970912	WO 1997-EP906	19970226
	W:	AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM			
	RW:	GH, KE, LS, MW, SD, SZ, UG, AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, FJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG			
	AU 9720934	A1	19970922	AU 1997-20934	19970226
	AU 722303	B2	20000727		
	EP 885014	A1	19981223	EP 1997-906128	19970226
	EP 885014	B1	20021023		
	R:	AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, PT, IE, FI			
	JP 2000506148	T2	20000523	JP 1997-531413	19970226
	AT 226450	E	20021115	AT 1997-906128	19970226
	ES 2185911	T3	20030501	ES 1997-906128	19970226
	US 6440460	B1	20020827	US 1998-117359	19980727
PRAI	EP 1996-103391	A	19960305		
	WO 1997-EP906	W	19970226		

AB The **pharmaceutical** compns. are for the controlled release of **therapeutic** agents from carboxylic acid **ortho ester** polymers. The compn. contains a pharmaceutically acceptable salt of an acid, which together with the carboxylic acid being liberated from the decompn. of the **ortho ester polymer**, forms a buffer system in a physiol. acceptable **pH**-range. In an example, tri-Et orthoacetate was copolymd. with 1,2,6-hexanetriol to give a biodegradable **polymer**. This **polymer** is formulated with NaOAc and 5-fluorouracil and is suitable for an intraocular implant.

L14 ANSWER 40 OF 58 CAPLUS COPYRIGHT 2003 ACS on STN  
AN 2000:415544 CAPLUS  
DN 133:63945  
TI Methods and kits for making polypeptides having a single covalently bound  
N-terminal water-soluble **polymer**  
IN Wei, Ziping; Menon-Rudolph, Sunitha; Ghosh-Dastidar, Pradip  
PA Ortho-McNeil Pharmaceutical, Inc., USA  
SO U.S., 21 pp.  
CODEN: USXXAM  
DT Patent  
LA English  
FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 6077939	A	20000620	US 1997-905310	19970804
PRAI	US 1997-905310		19970804		

AB This invention provides compns. consisting essentially of a polypeptide and a water-sol. **polymer** covalently bound thereto at the N-terminal .alpha.-carbon atom via a **hydrazone** or reduced **hydrazone** bond, or an oxime or reduced oxime bond. This invention also provides methods of making the instant compns., **pharmaceutical** compns. comprising same, and kits for use in prep. same.

L14 ANSWER 28 OF 58 CAPLUS COPYRIGHT 2003 ACS on STN  
AN 2003:636513 CAPLUS  
TI Poly(ortho esters): Some recent developments  
AU Heller, Jorge  
CS A. P. Pharma, Redwood City, CA, 94063, USA  
SO Abstracts of Papers, 226th ACS National Meeting, New York, NY, United States, September 7-11, 2003 (2003), PMSE-129 Publisher: American Chemical Society, Washington, D. C.  
CODEN: 69EKY9  
DT Conference; Meeting Abstract  
LA English  
AB Poly(ortho esters) are best prep'd. by the reaction of a diketene acetal with a diol, or mixts. of diols. While these polymers have been under development for over 30 yr, their com. potential has not been realized until a reproducible means of controlling erosion rates could be devised. To do so, we have taken advantage of the acid-sensitive nature of **ortho ester** linkages and incorporated a latent acid catalyst into the **polymer** backbone. The concn. of that segment in the **polymer** backbone controls erosion rates, while the nature of the diols controls mech. and thermal properties. A detailed study of **polymer** erosion has been carried out and an erosion process that occurs predominantly, but not exclusively, in the surface layers has been demonstrated. Such a process has a no. of important consequences such as erosion controlled **drug** release, **drug** release that is concomitant with **polymer** erosion and most importantly, the maintenance of an essentially neutral **pH** in the device interior since acidic hydrolysis products can diffuse away from the device. This allows delivery of **pH**-sensitive materials, including DNA.



L14 ANSWER 19 OF 58 EMBASE COPYRIGHT 2003 ELSEVIER SCI. B.V. on STN  
 AN 97289018 EMBASE  
 DN 1997289018  
 TI New generation of poly(ortho esters): Synthesis, characterization,  
 kinetics, sterilization and biocompatibility.  
 AU Zignani M.; Merkli A.; Sintzel M.B.; Bernatchez S.F.; Kloeti W.; Heller  
 J.; Tabatabay C.; Gurny R.  
 CS R. Gurny, School of Pharmacy, University of Geneva, 30 Quai E. Ansermet,  
 CH-1211 Geneva 4, Switzerland  
 SO Journal of Controlled Release, (1997) 48/2-3 (115-129).  
 Refs: 76  
 ISSN: 0168-3659 CODEN: JCREEC  
 PUI S 0168-3659(97)00050-3  
 CY Netherlands  
 DT Journal; Article  
 FS 012 Ophthalmology  
 030 Pharmacology  
 037 Drug Literature Index  
 039 Pharmacy  
 LA English  
 SL English  
 AB After a brief historical overview of the development of three families of  
 poly(ortho esters) (POEs), the physico-chemical characteristics,  
**drug** release properties and biocompatibility of the third  
 generation is discussed. Its synthesis is based on a transesterification  
 reaction between 1,2,6-hexanetriol and trimethylorthoacetate. This viscous  
 hydrophobic **polymer** has been used for the sustained release of  
 5-fluorouracil (5-FU) or mitomycin C (MMC) in glaucoma filtering surgery.  
 It shows a good correlation between **drug** release and  
**polymer** erosion and can be injected using a hydraulic syringe.  
**Drug** release can be modulated by using different molecular weights  
 of the **polymer**, or by adding basic or acidic excipients. Because  
 conventional sterilization methods using gamma or electron  
 beam-irradiation can not be used due to changes in molecular weight and  
 dynamic viscosity resulting from backbone cleavage, an aseptic fabrication  
 procedure has been developed and validated. POE biocompatibility has been  
 established after subconjunctival injections of POE, monomers and  
 degradation products in rabbits. Better control of the microenvironmental  
**pH** during **polymer** degradation has been achieved by using  
 an in situ formed buffer system.

L21 ANSWER 30 OF 31 CAPLUS COPYRIGHT 2003 ACS on STN

AN 1993:76627 CAPLUS

DN 118:76627

TI Hydrazine-containing conjugates of polypeptides and glycopolypeptides with polymers

IN Zalipsky, Samuel; Lee, Chyi; Menon-Rudolph, Sunitha

PA Enzon, Inc., USA

SO PCT Int. Appl., 39 pp.

CODEN: PIXXD2

DT Patent

LA English

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
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PI	WO 9216555	A1	19921001	WO 1992-US2047	19920312
	W: AU, CA, HU, JP, KR, RU				
	RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LU, MC, NL, SE				
	AU 9216769	A1	19921021	AU 1992-16769	19920312
	EP 576589	A1	19940105	EP 1992-909326	19920312
	R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, MC, NL, SE				
	JP 06506217	T2	19940714	JP 1992-508914	19920312
	CA 2101918	AA	19920919	CA 1992-2101918	19920316
PRAI	US 1991-672696		19910318		
	WO 1992-US2047		19920312		

AB Biol. active polypeptides and glycopolypeptides are conjugated at a reactive carbonyl or carboxylic acid group of the polypeptide with water-sol. polymers by a linkage contg. a hydrazide or **hydrazone** functional group. The linkage preferably also includes an amino acid or peptide sequence. The conjugates represent a novel form of **drug** delivery (no data). Methoxy-PEG (mPEG) was treated with phosgene and then reacted with .beta.-alanine Et ester.HCl. The mPEG-.beta.-alanine Et ester product was treated with hydrazine under reflux for 6 h and the mPEG-hydrazide deriv. contg. .beta.-Ala was conjugated to various proteins, e.g. activated chymotrypsin, activated bovine serum albumin, oxidized ovalbumin, oxidized human IgG, and activated G-CSF. The proteins were activated at the carboxyl groups with EDC (carbodiimide) or N-hydroxy-5-norbornene-2,3-dicarboximide. Carbohydrate groups were oxidized with NaIO<sub>4</sub> for activation. Extensive crosslinking of the proteins was prevented.

L21 ANSWER 18 OF 31 EMBASE COPYRIGHT 2003 ELSEVIER SCI. B.V. on STN  
 AN 96094827 EMBASE  
 DN 1996094827  
 TI Synthesis of polyglutamine and dextran conjugates of streptomycin with an acid-sensitive **drug**-carrier linkage.  
 AU Coessens V.; Schacht E.; Domurado D.  
 CS Biomaterial/Polymer Research Group, Department of Organic Chemistry, University of Ghent, Krijgslaan 281,9000 Ghent, Belgium  
 SO Journal of Controlled Release, (1996) 38/2-3 (141-150).  
 ISSN: 0168-3659 CODEN: JCREEC  
 CY Netherlands  
 DT Journal; Article  
 FS 027 Biophysics, Bioengineering and Medical Instrumentation  
 029 Clinical Biochemistry  
 030 Pharmacology  
 037 Drug Literature Index  
 LA English  
 SL English  
 AB A polymeric prodrug of streptomycin was prepared by coupling the **drug** via a spacer, glycine hydrazide, onto a polymeric carrier. In a first step, glycine N-Boc-hydrazide was linked to a 4-nitrophenyl chloroformate activated **polymer**. After removing the Boc group, streptomycin was coupled with the polymeric hydrazide with formation of a **hydrazone** bond. In order to target the **drug**-carrier derivative to the macrophages, 6-aminohexyl-.alpha.-D-mannopyranoside side groups were introduced. The polymeric streptomycin derivative was shown to be non hemolytic. The hydrolytic stability of the **polymer**-streptomycin conjugate was studied at physiological and lysosomal pH. Streptomycin release was found to be faster in the lysosomal pH range.

L21 ANSWER 4 OF 31 MEDLINE on STN  
 AN 2000095922 MEDLINE  
 DN 20095922 PubMed ID: 10632061  
 TI Acid-sensitive polyethylene glycol conjugates of doxorubicin: preparation, in vitro efficacy and intracellular distribution.  
 AU Rodrigues P C; Beyer U; Schumacher P; Roth T; Fiebig H H; Unger C; Messori L; Orioli P; Paper D H; Mulhaupt R; Kratz F  
 CS Tumor Biology Center, Department of Medical Oncology, Clinical Research, Freiburg, FRG.  
 SO BIOORGANIC AND MEDICINAL CHEMISTRY, (1999 Nov) 7 (11) 2517-24.  
 Journal code: 9413298. ISSN: 0968-0896.  
 CY ENGLAND: United Kingdom  
 DT Journal; Article; (JOURNAL ARTICLE)  
 LA English  
 FS Priority Journals  
 EM 200002  
 ED Entered STN: 20000229  
 Last Updated on STN: 20000229  
 Entered Medline: 20000214  
 AB Coupling anticancer drugs to synthetic polymers is a promising approach of enhancing the antitumor efficacy and reducing the side-effects of these agents. Doxorubicin maleimide derivatives containing an amide or acid-sensitive **hydrazone** linker were therefore coupled to alpha-methoxy-poly(ethylene glycol)-thiopropionic acid amide (MW 20000 Da), alpha,omega-bis-thiopropionic acid amide poly(ethylene glycol) (MW 20000 Da) or alpha-tert-butoxy-poly(ethylene glycol)-thiopropionic acid amide (MW 70000 Da) and the resulting polyethylene glycol (PEG) conjugates isolated through size-exclusion chromatography. The **polymer drug** derivatives were designed as to release doxorubicin inside the tumor cell by acid-cleavage of the **hydrazone** bond after uptake of the conjugate by endocytosis. The acid-sensitive PEG conjugates containing the carboxylic **hydrazone** bonds exhibited in vitro activity against human BFX T24 bladder carcinoma and LXFL 529L lung cancer cells with IC70 values in the range 0.02-1.5 microm (cell culture assay: propidium iodide fluorescence or colony forming assay). In contrast, PEG doxorubicin conjugates containing an amide bond between the **drug** and the **polymer** showed no in vitro activity. Fluorescence microscopy studies in LXFL 529 lung cancer cells revealed that free doxorubicin accumulates in the cell nucleus whereas doxorubicin of the acid-sensitive PEG doxorubicin conjugates is primarily localized in the cytoplasm. Nevertheless, the acid-sensitive PEG doxorubicin conjugates retain their ability to bind to calf thymus DNA as shown by fluorescence and visible spectroscopy studies. Results regarding the effect of an acid-sensitive PEG conjugate of molecular weight 20000 in the chorioallantoic membrane (CAM) assay indicate that this conjugate is significantly less embryotoxic than free doxorubicin although antiangiogenic effects were not observed.

L3 ANSWER 1 OF 1 CAPLUS COPYRIGHT 2003 ACS on STN  
AN 2000:141038 CAPLUS  
DN 132:325978  
TI Self-Cleaving **Ortho Ester** Lipids: A New Class of  
pH-Vulnerable Amphiphiles  
AU Zhu, Ji; Munn, Robert J.; Nantz, Michael H.  
CS Departments of Chemistry and Pathology, University of California, Davis,  
CA, 95616, USA  
SO Journal of the American Chemical Society (2000), 122(11), 2645-2646  
CODEN: JACSAT; ISSN: 0002-7863  
PB American Chemical Society  
DT Journal  
LA English  
AB The potential of liposomes to function as carriers of pharmaceutical  
agents, and more recently, as vehicles for polynucleotide delivery,  
continues to stimulate the development of more effective lipid-based  
delivery systems. A principal area of liposome research has been the  
design of the "trigger" for the liposome to release its payload. Here,  
the authors engineered an **ortho ester** construct to  
effect lipid headgroup cleavage in response to mild acid-induced  
hydrolysis. When the **ortho ester** lipids are  
formulated into liposome aggregates, the tandem sequence of **ortho  
ester** hydrolysis and headgroup cleavage triggers liposome rupture  
and release of entrapped contents. These observations illustrate the  
potential in using **ortho ester** lipids for pH-mediated  
release of a liposome payload. Moreover, the acute sensitivity of the  
**ortho ester** linkage to hydrolysis at pH 4.5 falls within  
the acidification range of **endosomes** and points toward cellular  
delivery applications that proceed via endocytosis. Thus, the present  
approach to pH-triggered liposome disassembly provides a new tactic for  
the delivery of pharmaceutical agents.